



PTO/SB/08A (10-01)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	10/773,306
		Filing Date	February 9, 2004
		First Named Inventor	Mark J. STEFIK, et al.
		Art Unit	2131
		Examiner Name	Not Yet Assigned
Sheet 1	of 1	Attorney Docket Number	11:1325-127 (021100)

U.S. PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
JAL		6,135,646	October 24, 2000	Kahn et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ³ Number ⁴ Kind Code ² (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ³

Examiner Signature		Date Considered	05 APRIL 2005 [*]
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 10

Complete if Known

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JML		US-3,263,158		07/01/1996	Janis	
		US-3,609,697		09/28/1971	Blevins et al.	
		US-3,790,700		02/05/1974	Callais et al.	
		US-3,798,605		03/19/1974	Feistel	
		US-4,159,468		06/26/1979	Barnes et al.	
		US-4,220,991		09/02/1980	Hamano et al.	
		US-4,278,837		07/14/1981	Best	
		US-4,323,921		04/06/1982	Guillou	
		US-4,442,486		04/10/1984	Mayer	
		US-4,529,870		07/16/1985	Chaum	
		US-4,558,176		12/10/1985	Arnold et al.	
		US-4,593,376		06/03/1986	Volk	
		US-4,614,861		09/30/1986	Pavlov et al.	
		US-4,644,493		02/17/1987	Chandra et al.	
		US-4,658,093		04/14/1987	Hellman	
		US-4,713,753		12/15/1987	Beobert et al.	
		US-4,796,220		01/03/1989	Wolfe	
		US-4,817,140		03/28/1989	Chandra et al.	
	US-4,827,508		05/02/1989	Shear		
	US-4,868,376		09/19/1989	Lessin et al.		

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		Country Code ²	Number ²	Kind Code ² (if known)			
		0 084 441	EP	07/27/1983	TABS LIMITED		
		0 180 460	EP	05/07/1986	SONY CORPORATION		
		0 332 707	EP	09/01/1989	HONDA GIKEN KOGYO KABUSHIKI KAISHA		
		0 538 216 A1	EP	04/21/1993	TELEVERKET		
		0 651 554	EP	05/03/1995	EASTMAN KODAK CO.		
		0 668 695	EP	08/23/1995	VICTOR COMPANY OF JAPAN LIMITED		
		0 725 376	EP	08/07/1996	SONY CORP.		
		2 136 175	GB	09/12/1984	ATALLA CORP.		
		2 236 604	GB	04/10/1991	SUN MICROSYSTEMS INC		
		0 715 241	JP	06/05/1996	MITSUBISHI CORP.		
		04-369068	JP	12/21/1992	CHIYUUBU NIHON DENKI SOFUTOUEA KK		Abst

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/773,306
				Filing Date	February 9, 2004
				First Named Inventor	Mark J. STEFIK, et al.
				Art Unit	2131
				Examiner Name	Not Yet Assigned
Sheet	2	of	10	Attorney Docket Number	111325-127 (021100)

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		Number - Kind Code ² (if known)			
pm		US-4,882,752	11/21/1989	Lindman et al.	
		US-4,891,838	01/02/1990	Faber	
		US-4,924,378	05/08/1990	Hershey et al.	
		US-4,932,054	06/05/1990	Chou et al.	
		US-4,937,863	06/26/1990	Robert et al.	
		US-4,949,187	08/14/1990	Cohen	
		US-4,953,209	08/28/1990	Ryder, Sr. et al.	
		US-4,961,142	10/02/1990	Elliott et al.	
		US-4,975,647	12/04/1990	Downer et al.	
		US-4,977,594	12/11/1990	Shear	
		US-4,999,806	03/12/1991	Chernow et al.	
		US-5,010,571	04/23/1991	Katznelson	
		US-5,014,234	05/07/1991	Edwards, Jr.	
		US-5,023,907	06/11/1991	Johnson et al.	
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		US-5,050,213	09/17/1991	Shear	
		US-5,052,040	09/24/1991	Preston et al.	
		US-5,058,164	10/15/1991	Elmer et al.	
		US-5,103,476	04/07/1992	Waite et al.	
		US-5,113,519	05/12/1992	Johnson et al.	
		US-5,136,643	08/04/1992	Fischer	

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		Country Code ³	Number ⁴ (if known)				
		05-268415	JP	10/15/1993	RICOH CO LTD		Abst
		06-175794	JP	06/24/1994	FUJI XEROX CO LTD		Abst
		06-215010	JP	08/05/1994	SONY CORP.		Abst
		07-084852	JP	03/31/1995	HITACHI LTD.		Abst
		07-200317	JP	08/04/1995	TOSHIBA CORP.		Abst
		07-244639	JP	09/19/1995	FUJITSU LTD		Abst
		62-241061	JP	10/21/1987	NEC CORP.		Abst
		64-068835	JP	03/14/1989	RYOICHI MORI		Abst
		WO 01/63528	PCT	08/30/2001	IPDN COPR.		
✓		WO 92/20022	PCT	11/12/1992	DIGITAL EQUIPMENT CORP.		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/773,306
		Filing Date	February 9, 2004
		First Named Inventor	Mark J. STEFIK, et al.
		Art Unit	2131
		Examiner Name	Not Yet Assigned
Sheet	3	of	10
		Attorney Docket Number	111325-127 (021100)

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gatl		US-5,138,712		08/11/1992	Corbin		
		US-5,146,499		09/08/1992	Geffrotin		
		US-5,148,481		09/15/1992	Abraham et al.		
		US-5,159,182		10/27/1992	Eisele		
		US-5,183,404		02/02/1993	Aldous et al.		
		US-5,191,193		03/02/1993	Le Roux		
		US-5,224,163		06/29/1993	Gasser et al.		
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		US-5,222,134		06/22/1993	Waite et al.		
		US-5,229,263		03/29/1994	Beller et al.		
		US-5,235,642		08/10/1993	Wobber et al.		
		US-5,247,575		09/21/1993	Sprague et al.		
		US-5,255,106		10/19/1993	Castro		
		US-5,260,999		11/09/1993	Wyman		
		US-5,263,157		11/16/1993	Janis		
		US-5,263,158		11/16/1993	Janis		
		US-5,276,444		01/04/1994	McNair		
		US-5,276,735		01/04/1994	Boebert et al.		
		US-5,291,596		03/01/1994	Mita		
		US-5,301,231		04/05/1994	Abraham et al.		
		US-5,311,591		05/10/1994	Fischer		
		US-5,319,705		06/07/1994	Halter et al.		
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		Country Code ³ Number ⁴	Kind Code ² (if known)				
		WO 93/01550	PCT	01/21/1993	INFOLOGIC SOFTWARE, INC		
		WO 94/01821	PCT	01/20/1994	SECURE COMPUTING CORP.		
		WO 96/24092	PCT	08/08/1996	BENSON, Greg		
		WO 97/48203	PCT	12/18/1997	INTEL CORP.		
		WO 98/11690	PCT	03/19/1998	GLOVER, John J.		
		WO 98/42098	PCT	09/24/1998	CRYPTOWORKS, INC.		
		WO 99/49615	PCT	09/30/1999	MICROTOME		
Examiner Signature					Date Considered		

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Sheet 4 of 10

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		US-5,341,429	08/23/1994	Stringer et al.	
		US-5,347,579	09/13/1994	Blandford	
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		US-5,394,469	02/28/1995	Nagel et al.	
		US-5,410,598	04/25/1995	Shear	
		US-5,412,717	05/02/1995	Fischer	
		US-5,428,606	06/27/1995	Moskowitz	
		US-5,432,849	07/11/1995	Johnson et al.	
		US-5,438,508	08/01/1995	Wyman	
		US-5,444,779	08/22/1995	Daniele	
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		US-5,473,687	12/05/1995	Lipscomb et al.	
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v		US-5,499,298	03/12/1996	Narasimhalu et al.	
		US-5,502,766	03/26/1996	Boebert et al.	
		US-5,504,814	04/02/1996	Miyahara	

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		US-5,509,070	04/16/1996	Schull	
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		US-5,621,797	04/15/1997	Rosen	
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		US-5,634,012	05/27/1997	Stefik et al.	
		US-5,638,443	06/10/1997	Stefik et al.	
		US-5,649,013	07/15/1997	Stuckey et al.	
		US-5,655,077	08/05/1997	Jones et al.	
		US-5,708,717	01/13/1998	Alasia	
		US-5,734,823	03/31/1998	Saigh et al.	
	US-5,734,891	03/31/1998	Saigh		
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JPK		US-5,737,416	04/07/1998	Cooper et al.	
		US-5,745,569	04/28/1998	Moskowitz et al.	
		US-5,748,783	05/05/1998	Rhoads	
		US-5,757,907	05/26/1998	Cooper et al.	
		US-5,761,686	06/02/1998	Bloomberg	
		US-5,765,152	06/09/1998	Erickson	
		US-5,768,426	06/16/1998	Rhoads	
		US-5,825,892	10/20/1998	Braudaway et al.	
		US-5,892,900	04/06/1999	Ginter et al.	
		US-5,910,987	06/08/1999	Ginter et al.	
		US-5,915,019	06/22/1999	Ginter et al.	
		US-5,917,912	06/29/1999	Ginter et al.	
		US-5,920,861	07/06/1999	Hall et al.	
		US-5,940,504	08/17/1999	Griswold	
		US-5,943,422	08/24/1999	Van Wie et al.	
		US-5,949,876	09/07/1999	Ginter et al.	
		US-5,982,891	11/09/1999	Ginter et al.	
		US-5,999,949	12/07/1999	Crandall	
		US-6,047,067	04/04/2000	Rosen	
		US-6,112,181	08/29/2000	Shear et al.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/773,306
		Filing Date	February 9, 2004
		First Named Inventor	Mark J. STEFIK, et al.
		Group Art	2131
		Examiner Name	Not Yet Assigned
Sheet 7 of 10	Attorney Docket Number	111325-127 (021100)	

U.S. PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
JMT		US-6,115,471	09/05/2000	Oki et al.	
		US-6,138,119	10/24/2000	Hall et al.	
		US-6,157,721	12/05/2000	Shear et al.	
		US-6,185,683	02/06/2001	Ginter et al.	
		US-6,226,618	05/01/2001	Downs et al.	
		US-6,233,684	05/15/2001	Stefik et al.	
		US-6,237,786	05/29/2001	Ginter et al.	
		US-6,240,185	05/29/2001	Van Wie et al.	
		US-6,253,193	06/26/2001	Ginter et al.	
		US-6,266,618	05/01/2001	Downs, et al.	
		US-6,292,569	09/18/2001	Shear et al.	
		US-6,301,660	10/09/2001	Benson	
		US-6,327,652	12/04/2001	England et al.	
		US-6,330,670	12/11/2001	England et al.	
		US-6,345,256	02/05/2002	Milsted et al.	
		US-6,363,488	05/26/2002	Ginter et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet	8	of	10	Attorney Docket Number	111325-127 (021100)

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ma		'National Semiconductor and EPR Partner for Information Metering/Data Security Cards' March 4, 1994, Press Release from Electronic Publishing Resources, Inc.	
		Weber, R., "Digital Rights Management Technology" October 1995	
		Flasche, U. et al., "Decentralized Processing of Documents", pp. 119-131, 1986, Comput. & Graphics, Vol. 10, No. 2	
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		Weber, R., "Metering Technologies for Digital Intellectual Property", pp. 1-29, Oct. 1994, A Report to the International Federation of Reproduction Rights Organizations	
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		Ross, P.E., "Data Guard", pp. 101, June 6, 1994, Forbes	
		Saigh, W.K., "Knowledge is Sacred", 1992, Video Pocket/Page Reader Systems, Ltd.	
		Kahn, R.E., "Deposit, Registration and Recordation in an Electronic Copyright Management System", pp. 1-19, August 1992, Corporation for National Research Initiatives, Virginia	
		Hilts, P. et al., "Books While U Wait", pp. 48-50, January 3, 1994, Publishers Weekly	
		Strattner, A., "Cash Register on a Chip may Revolutionize Software Pricing and Distribution; Wave Systems Corp.", pp. 1-3, April 1994, Computer Shopper, Vol. 14, No. 4, ISSN 0886-0556	

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mm		O'Conner, M., "New Distribution Option for Electronic Publishers; iOpener Data Encryption and Metering System for CD-ROM use; Column", pp. 1-6, March 1994, CD-ROM Professional, Vol. 7, No. 2, ISSN: 1409-0833	
		Willett, S., "Metered PCs: Is Your System Watching You? Wave System beta tests new technology", pp. 84, May 2, 1994, InfoWorld	
		Linn, R., "Copyright and Information Services in the Context of the National Research and Education Network", pp. 9-20, January 1994, IMA Intellectual Property Project Proceedings, Vol. 1, Issue 1	
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		Griswold, G., "A Method for Protecting Copyright on Networks", pp. 169-178, January 1994, IMA Intellectual Property Project Proceedings, Vol. 1, Issue 1	

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mm		Nelson, T., "A Publishing and Royalty Model for Networked Documents", pp. 257-259, January 1994, IMA Intellectual Property Project Proceedings, Vol. 1, Issue 1	
		Robinson, E., "Redefining Mobile Computing", pp. 238-240, 247-248 and 252, July 1993, PC Computing	
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		Henry H. Perritt, Jr., "Technological Strategies for Protecting Intellectual Property in the Networked Multimedia Environment", April 2-3, 1993, Knowbots, Permissions Headers & Contract Law	

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